



Corporate Compliance Training Test

Instructions: Please circle the answer to each question on the Answer Sheet. Once you have completed the test, turn your answer key into your manager.

1. Compliance is the responsibility of:
 - a. Leadership/Management
 - b. Compliance Officer
 - c. All employees
 - d. Compliance Board

2. What are the Key Goals of the MSHN and CMHA-CEI Compliance Program?
 - a. Detect misconduct and illegal activity
 - b. Prevent fraud, abuse, and waste
 - c. Disseminate law-abiding values
 - d. All of the Above

3. After reporting a compliance issue to the compliance office, it is your responsibility to document the report.
 - a. True
 - b. False

4. Which of the following are actual or potential Conflicts of Interest that should be reported to your supervisor or HR?
 - a. You accepted paid outside employment at a contracted provider entity, but it is only part-time and will not interfere with your normal work schedule for your entity.
 - b. Your spouse works for a vendor that is seeking to contract with your entity.
 - c. Your job includes referring consumers to providers and your daughter works at a provider organization.
 - d. You are completing a Master's Degree Program and are performing your internship at a contracted provider entity.
 - e. All the above

5. It is acceptable to offer to pay someone to make referrals to your agency, or accept payments for making a referral to another agency.
 - a. True
 - b. False



Corporate Compliance Training Test

6. It is ok to place an employee on a corrective action plan because they reported suspected fraud occurring within the agency in good faith.
 - a. True
 - b. False

7. Using federal funds to pay the salary and benefits of a person who is barred from participating in Medicare and Medicaid is an acceptable practice.
 - a. True
 - b. False

8. When a provider identifies receiving an overpayment, it is required to be repaid within 60 days of quantifying the amount.
 - a. True
 - b. False

9. Behavioral health providers can never share client records without permission.
 - a. True
 - b. False

10. Protected Health Information (PHI) can never be shared by encrypted email.
 - a. True
 - b. False

11. Under the minimum necessary rule, if your agency receives a request from a provider for a patient's most recent Treatment Plan (mental health only) to assist that provider in appropriately treating the patient, it is acceptable to send the provider the patient's entire record.
 - a. True
 - b. False

12. The following are health care regulations, except _____.
 - a. Health Information Technology for Economic and Clinical Health Act (HITECH)
 - b. 42 CFR Part 2
 - c. Family Educational Rights and Privacy Act (FERPA)
 - d. Health Insurance Portability and Accountability Act (HIPAA)
 - e. Michigan Mental Health Code



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13. What concern should NOT be reported?
- Inappropriate interactions between consumers and staff
 - Billing for services not provided
 - Fired employee who is disgruntled with employer
 - Any irregular, suspicious, inaccurate or inconsistent activity
 - All the above concerns should be reported.
14. Reporting Compliance issues is:
- Frowned upon
 - Optional
 - Required
 - Not a good idea
15. The State investigative unit which solicits, receives, and investigates complaints related to fraud, abuse, and waste is?
- Michigan Secretary of State
 - The Behavioral Health and Developmental Disabilities Administration
 - Department of Health and Human Services
 - Michigan Office of Inspector General (OIG)